

Q3 FY2024-25 Brokerage Structure



Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc Trailer Fee Clawback		
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
INDEX		Kotak BSE PSU Index Fund	01-Oct-2024 to 31-Dec-2024	Lump sum	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	NO			
				Systematic	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	NO			
INDEX	INDEX	Kotak Nifty Midcap 50 Index fund		Lump sum	1 to MAX	FIXED	0	0.67	0.67	0.67	0.67	0.67	NO			
				Systematic	1 to MAX	FIXED	0	0.67	0.67	0.67	0.67	0.67	NO			
INDEX		Kotak Nifty India Tourism Index Fund		Lump sum	1 to MAX	FIXED	0	0.64	0.64	0.64	0.64	0.64	NO			
				Systematic	1 to MAX	FIXED	0	0.64	0.64	0.64	0.64	0.64	NO			
INDEX	INDEX	Kotak NIFTY 100 Low Volatility 30 Index Fund		Lump sum	1 to MAX	FIXED	0	0.63	0.63	0.63	0.63	0.63	NO			
				Systematic	1 to MAX	FIXED	0	0.63	0.63	0.63	0.63	0.63	NO			
		KOTAK NIFTY SMALLCAP 50 INDEX FUND		Lump sum	1 to MAX	FIXED	0	0.6	0.6	0.6	0.6	0.6	NO			
				Systematic	1 to MAX	FIXED	0	0.6	0.6	0.6	0.6	0.6	NO			
		Kotak S&P BSE Housing Index Fund		Lump sum	1 to MAX	FIXED	0	0.48	0.48	0.48	0.48	0.48	NO			
				Systematic	1 to MAX	FIXED	0	0.48	0.48	0.48	0.48	0.48	NO			
		Kotak Nifty Financial Services Ex-Bank Index Fund		Lump sum	1 to MAX	FIXED	0	0.47	0.47	0.47	0.47	0.47	NO			
				Systematic	1 to MAX	FIXED	0	0.47	0.47	0.47	0.47	0.47	NO			
		Kotak Nifty 200 Momentum 30 Index Fund		Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO			
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO			
		KOTAK NIFTY SDL JUL 2028 INDEX FUND		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
INDEX		Kotak Nifty G-Sec July 2033 Index Fund		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
INDEX		KOTAK CRISIL-IBX AAA FINANCIAL SERVICES INDEX SEP 2027 FUND	Lump sum	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO				
			Systematic	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO				
INDEX	INDEX	Kotak Nifty SDL Jul 2033 Index Fund	Lump sum	1 to MAX	FIXED	0	0.19	0.19	0.19	0.19	0.19	NO				
			Systematic	1 to MAX	FIXED	0	0.19	0.19	0.19	0.19	0.19	NO				
		Kotak Nifty SDL Jul 2026 Index Fund	Lump sum	1 to MAX	FIXED	0	0.18	0.18	0.18	0.18	0.18	NO				
			Systematic	1 to MAX	FIXED	0	0.18	0.18	0.18	0.18	0.18	NO				

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		Kotak Nifty AAA Bond Jun 2025 HTM Index Fund	Lump sum	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO			
			Systematic	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO			
EQUITY	Equity	Kotak Technology Fund	Lump sum	1 to MAX	FIXED	0	1.63	1.63	1.63	1.63	1.63	NO			
			Systematic	1 to MAX	FIXED	0	1.63	1.63	1.63	1.63	1.63	NO			
		Kotak Healthcare Fund	Lump sum	1 to MAX	FIXED	0	1.61	1.61	1.61	1.61	1.61	1.61	NO		
			Systematic	1 to MAX	FIXED	0	1.61	1.61	1.61	1.61	1.61	1.61	NO		

Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee					All Assets	B30 Inc Trailer Fee Clawback			
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr		>=5th Yr	Type	%	Thld(D)
EQUITY	Equity	Kotak ESG Exclusionary Strategy Fund	01-Oct-2024 to 31-Dec-2024	Lump sum	1 to MAX	FIXED	0	1.52	1.52	1.52	1.52	1.52	NO			
				Systematic	1 to MAX	FIXED	0	1.52	1.52	1.52	1.52	1.52	NO			
		Kotak Consumption Fund		Lump sum	1 to MAX	FIXED	0	1.5	1.5	1.5	1.5	1.5	1.5	NO		
				Systematic	1 to MAX	FIXED	0	1.5	1.5	1.5	1.5	1.5	1.5	NO		
		Kotak Banking and Financial Services Fund		Lump sum	1 to MAX	FIXED	0	1.49	1.49	1.49	1.49	1.49	1.49	NO		
				Systematic	1 to MAX	FIXED	0	1.49	1.49	1.49	1.49	1.49	1.49	NO		
		Kotak Business Cycle Fund		Lump sum	1 to MAX	FIXED	0	1.35	1.35	1.35	1.35	1.35	1.35	NO		
				Systematic	1 to MAX	FIXED	0	1.35	1.35	1.35	1.35	1.35	1.35	NO		
Kotak Manufacture In India Fund	Lump sum	1 to MAX	FIXED	0	1.35	1.35	1.35	1.35	1.35	1.35	NO					
	Systematic	1 to MAX	FIXED	0	1.35	1.35	1.35	1.35	1.35	1.35	NO					
EQUITY	Sectoral or Thematic Fund	Kotak Infrastructure & Economic Reform Fund		Lump sum	1 to MAX	FIXED	0	1.31	1.31	1.31	1.31	1.31	NO			
				Systematic	1 to MAX	FIXED	0	1.31	1.31	1.31	1.31	1.31	NO			
EQUITY	Equity	Kotak Special Opportunities Fund		Lump sum	1 to MAX	FIXED	0	1.31	1.31	1.31	1.31	1.31	NO			
				Systematic	1 to MAX	FIXED	0	1.31	1.31	1.31	1.31	1.31	1.31	NO		
		Kotak Focused Equity Fund		Lump sum	1 to MAX	FIXED	0	1.3	1.3	1.3	1.3	1.3	1.3	NO		
				Systematic	1 to MAX	FIXED	0	1.3	1.3	1.3	1.3	1.3	1.3	NO		
		Kotak Pioneer Fund		Lump sum	1 to MAX	FIXED	0	1.26	1.26	1.26	1.26	1.26	1.26	NO		
				Systematic	1 to MAX	FIXED	0	1.26	1.26	1.26	1.26	1.26	1.26	NO		
EQUITY	Contra Fund	Kotak India EQ Contra Fund		Lump sum	1 to MAX	FIXED	0	1.25	1.25	1.25	1.25	1.25	NO			
				Systematic	1 to MAX	FIXED	0	1.25	1.25	1.25	1.25	1.25	1.25	NO		

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EQUITY	Large Cap Fund	Kotak Bluechip Fund
EQUITY	Equity Savings	Kotak Equity Savings Scheme
EQUITY	Equity	Kotak Multi Asset Allocation Fund
EQUITY	ELSS	Kotak ELSS Tax Saver Fund
EQUITY	Equity	Kotak Multicap Fund
EQUITY	Small Cap Fund	Kotak Small Cap Fund

Lump sum	1 to MAX	FIXED	0	1.19	1.19	1.19	1.19	1.19	NO		
Systematic	1 to MAX	FIXED	0	1.19	1.19	1.19	1.19	1.19	NO		
Lump sum	1 to MAX	FIXED	0	1.18	1.18	1.18	1.18	1.18	NO		
Systematic	1 to MAX	FIXED	0	1.18	1.18	1.18	1.18	1.18	NO		
Lump sum	1 to MAX	FIXED	0	1.16	1.16	1.16	1.16	1.16	NO		
Systematic	1 to MAX	FIXED	0	1.16	1.16	1.16	1.16	1.16	NO		
Lump sum	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO		
Systematic	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO		
Lump sum	1 to MAX	FIXED	0	1.14	1.14	1.14	1.14	1.14	NO		
Systematic	1 to MAX	FIXED	0	1.14	1.14	1.14	1.14	1.14	NO		
Lump sum	1 to MAX	FIXED	0	1.12	1.12	1.12	1.12	1.12	NO		
Systematic	1 to MAX	FIXED	0	1.12	1.12	1.12	1.12	1.12	NO		

Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee					All Assets	B30 Inc Trailer Fee Clawback		
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr		>=5th Yr	Type	%
EQUITY	Large and Mid Cap Fund	Kotak Equity Opportunities Fund	01-Oct-2024 to 31-Dec-2024	Lump sum	1 to MAX	FIXED	0	1.08	1.08	1.08	1.08	1.08	NO		
				Systematic	1 to MAX	FIXED	0	1.08	1.08	1.08	1.08	1.08	NO		
EQUITY	Equity	Kotak Quant Fund		Lump sum	1 to MAX	FIXED	0	1	1	1	1	1	NO		
				Systematic	1 to MAX	FIXED	0	1	1	1	1	1	NO		
EQUITY	Mid Cap Fund	Kotak Emerging Equity		Lump sum	1 to MAX	FIXED	0	0.97	0.97	0.97	0.97	0.97	NO		
				Systematic	1 to MAX	FIXED	0	0.97	0.97	0.97	0.97	0.97	NO		
EQUITY	Equity	Kotak Flexicap Fund		Lump sum	1 to MAX	FIXED	0	0.92	0.92	0.92	0.92	0.92	NO		
				Systematic	1 to MAX	FIXED	0	0.92	0.92	0.92	0.92	0.92	NO		
EQUITY	Arbitrage Fund	Kotak Arbitrage Fund		Lump sum	1 to MAX	FIXED	0	0.58	0.58	0.58	0.58	0.58	NO		
				Systematic	1 to MAX	FIXED	0	0.58	0.58	0.58	0.58	0.58	NO		
EQUITY	Equity	Kotak Nifty Next 50 Index Fund		Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO		
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO		
		Kotak Nifty 50 Index Fund	Lump sum	1 to MAX	FIXED	0	0.27	0.27	0.27	0.27	0.27	NO			
			Systematic	1 to MAX	FIXED	0	0.27	0.27	0.27	0.27	0.27	NO			

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HYBRID	Conservative Hybrid Fund	Kotak Debt Hybrid
HYBRID	Balanced Hybrid Fund	Kotak Equity Hybrid
HYBRID	Dynamic Asset Allocation fund	Kotak Balanced Advantage Fund
DEBT	Medium to Long Term Duration Fund	Kotak Bond Fund
DEBT	Gilt Fund	Kotak Gilt Investments Fund
DEBT	Medium Duration Fund	Kotak Medium Term Fund
DEBT	Credit Risk Fund	Kotak Credit Risk Fund
DEBT	Short Duration Fund	Kotak Bond Short Term Fund
DEBT	Dynamic Bond	Kotak Dynamic Bond Fund

Lump sum	1 to MAX	FIXED	0	1.2	1.2	1.2	1.2	1.2	NO		
Systematic	1 to MAX	FIXED	0	1.2	1.2	1.2	1.2	1.2	NO		
Lump sum	1 to MAX	FIXED	0	1.17	1.17	1.17	1.17	1.17	NO		
Systematic	1 to MAX	FIXED	0	1.17	1.17	1.17	1.17	1.17	NO		
Lump sum	1 to MAX	FIXED	0	1.13	1.13	1.13	1.13	1.13	NO		
Systematic	1 to MAX	FIXED	0	1.13	1.13	1.13	1.13	1.13	NO		
Lump sum	1 to MAX	FIXED	0	1.05	1.05	1.05	1.05	1.05	NO		
Systematic	1 to MAX	FIXED	0	1.05	1.05	1.05	1.05	1.05	NO		
Lump sum	1 to MAX	FIXED	0	1	1	1	1	1	NO		
Systematic	1 to MAX	FIXED	0	1	1	1	1	1	NO		
Lump sum	1 to MAX	FIXED	0	0.95	0.95	0.95	0.95	0.95	NO		
Systematic	1 to MAX	FIXED	0	0.95	0.95	0.95	0.95	0.95	NO		
Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	NO		
Systematic	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	NO		
Lump sum	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	NO		
Systematic	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	NO		
Lump sum	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	NO		
Systematic	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	NO		

Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc Trailer Fee Clawback		
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
DEBT	Low duration Fund	Kotak Low Duration Fund	01-Oct-2024 to 31-Dec-2024	Lump sum	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	NO			
				Systematic	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	NO			
DEBT	Ultra short Duration Fund	Kotak Savings Fund		Lump sum	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	NO			
				Systematic	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	NO			
DEBT	Corporate Bond Fund	Kotak Corporate Bond Fund		Lump sum	1 to MAX	FIXED	0	0.44	0.44	0.44	0.44	0.44	NO			
				Systematic	1 to MAX	FIXED	0	0.44	0.44	0.44	0.44	0.44	NO			
DEBT	Debt	Kotak Floating Rate Fund		Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO			
				Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO			

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		Kotak Long Duration Fund		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
		KOTAK NIFTY SDL PLUS AAA PSU BOND JUL 2028 60:40		Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
DEBT	Banking and PSU Fund	Kotak Banking and PSU Debt Fund		Lump sum	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO			
		Kotak Nifty SDL Apr 2027 Top 12 Equal Weight Index		Systematic	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO			
DEBT	Debt	Kotak Nifty SDL Apr 2032 Top 12 Equal Weight Index		Lump sum	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO			
				Systematic	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO			
DEBT	Money Market scheme	Kotak Money Market Scheme		Lump sum	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO			
				Systematic	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO			
DEBT	Overnight Fund	Kotak Overnight Fund		Lump sum	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO			
				Systematic	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO			
DEBT	Liquid Fund	Kotak Liquid Fund		Lump sum	1 to MAX	FIXED	0	0.09	0.09	0.09	0.09	0.09	NO			
				Systematic	1 to MAX	FIXED	0	0.09	0.09	0.09	0.09	0.09	NO			
DEBT	Debt	Kotak All Weather Debt FOF		Lump sum	1 to MAX	FIXED	0	0.08	0.08	0.08	0.08	0.08	NO			
				Systematic	1 to MAX	FIXED	0	0.08	0.08	0.08	0.08	0.08	NO			
FOF	FOF	Kotak Global Innovation Fund of Fund		Lump sum	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	NO			
		Kotak International REIT FOF		Systematic	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	NO			
FOF	Fund of Fund	Kotak Multi Asset Allocator Fund of Fund - Dynamic		Lump sum	1 to MAX	FIXED	0	1.05	1.05	1.05	1.05	1.05	NO			
				Systematic	1 to MAX	FIXED	0	1.05	1.05	1.05	1.05	1.05	NO			
				Lump sum	1 to MAX	FIXED	0	0.89	0.89	0.89	0.89	0.89	NO			
				Systematic	1 to MAX	FIXED	0	0.89	0.89	0.89	0.89	0.89	NO			
				Lump sum	1 to MAX	FIXED	0	0.65	0.65	0.65	0.65	0.65	NO			
				Systematic	1 to MAX	FIXED	0	0.65	0.65	0.65	0.65	0.65	NO			
Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc Trailer Fee Clawback		
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
FOF	FOF	Kotak Silver ETF Fund of Fund	01-Oct-2024 to 31-Dec-2024	Lump sum	1 to MAX	FIXED	0	0.43	0.43	0.43	0.43	0.43	NO			
		Kotak NASDAQ 100 Fund of Fund		Systematic	1 to MAX	FIXED	0	0.43	0.43	0.43	0.43	0.43	NO			
				Lump sum	1 to MAX	FIXED	0	0.38	0.38	0.38	0.38	0.38	NO			

Perc- Percentage

Prop- Proportionate

NC- No Clawback

Thld(D)- Threshold Period(In Days)

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			Systematic	1 to MAX	FIXED	0	0.38	0.38	0.38	0.38	0.38	NO			
FOF	Fund of Fund	Kotak Global Emerging Fund	Lump sum	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO			
			Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO			
		Kotak Gold Fund	Lump sum	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	0.35	NO		
			Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	0.35	NO		
			Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	0.35	NO		

